



PRODUCING AGENT (RETAIL PRODUCER OR SURPLUS LINES BROKER) DILIGENT EFFORT AFFIDAVIT
(§§ 10-5-103, 10-5-103.5, C.R.S., & Section 6 of Regulation 2-4-1)

As a surplus lines broker, I have determined, or if the insurance was produced by a retail producer, I have relied upon the retail producer to determine that the full amount of insurance required was not procurable, after diligent effort had been made to do so, from among at least three (3) admitted insurers authorized to and actually transacting that line of business in this state. Or that the coverage was not procurable because there were less than three (3) admitted insurers authorized to and actually transacting that line of business in this state. Further, that placing the insurance with a non-admitted insurer was not for the purpose of securing a lower premium rate than which would be accepted by an admitted insurer unless the premium rate quoted by the admitted insurer must be ten (10) percent higher than that rate quoted by the non-admitted insurer. In addition, the policy benefits and provisions within the policies being compared shall be comparatively similar.

Name of Producing Agent (Retail Producer or Surplus Lines Broker) _____

declares he/she is the holder of a current Colorado Producer License Number _____

at (Name of Agency) _____

Has sought to obtain:

Type of Coverage & Description of Risk _____ for

Named Insured _____ for a New ___ or Renewal ___ policy

from the following three admitted insurers currently transacting this line of business in this state:

(1) _____

(2) _____

(3) _____

The policy for the above-named insured has been produced by me, the above-named Colorado Retail Producer or Surplus Lines Broker and my signature herein is evidence that the insurance is eligible for export under §10-5-103, C.R.S.

 Signature of above-named Producing Agent Printed or Typed Name Date

This affidavit must be completed by the Producing Agent (Retail Producer or Surplus Lines Broker), and must be kept in the Surplus Lines Broker's office for not less than three years from the effective date of the coverage, and made available to the Division of Insurance upon request. Please do not submit the affidavit to the Colorado Division of Insurance. If you have questions please email: dora_coloradoinsurancesurpluslinestax@state.co.us

